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SERIAL NO. 10/629,021

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SEP 2 2 2004

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:

Yasuhiko (nmi) Aoki, et al.

Serial No.:

10/629,021

Filing Date:

July 28, 2003

Group Art No.:

2643

Title:

Optical Network with Sub-Band Rejection and Bypass

Mail Stop: Amendment Commissioner For Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Certificate of Mailing by Express Mail No. EV 322185450 US

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37. C.F.R. 1.10 on the date shown below, and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name:

Willie Jiles

Date: September 22, 2004

#### INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicants respectfully request that the references listed in the attached PTO-1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.

## **REMARKS**

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe this Information Disclosure Statement has been filed before the mailing date of the first Official Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

Brian W. Oaks Reg. No. 44,981

Date: September 22, 2004

Correspondence Address:

**Customer Number** 

05073

PTÖ-1449

The Information Disclosure Citation
In an Application

Application No. 10/629,021

Applicant(s) Yasuhiko (nmi) Aoki et al.

Docket Number Group Art Unit 064731.0332 2643

Filing Date July 28, 2003

## **U.S. PATENT DOCUMENTS**

	CAR						
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,483,372	01/09/1996	Green, Jr.	359	173	11/29/1994
	В	5,903,371	05/11/1999	Arecco et al.	359	119	10/15/1996
	C	5,956,319	09/21/1999	Meli	370	224	01/29/1997
	D	5,999,291	12/07/1999	Anderson	359	133	06/02/1998
	E	6,163,527	12/19/2000	Ester et al	370	228	04/14/1998
	F	6,249,510	06/19/2001	Thompson	370	223	09/10/1998
	G	6,285,479	09/04/2001	Okazaki et al.	359	133	03/30/1998
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	J	6,519,064	02/11/2003	Fatehi et al.	359	130	06/19/1999
_	K	6,590,681	07/08/2003	Egnell et al.	359	127	06/09/1999
		<u> </u>	<u> </u>				

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		(month/day/year)				YES	NO
L	WO 98/47255	10/22/1998	Patent Cooperation Treaty			X	j -
M	EP 0 920 153 A2	06/02/1999	European Patent Office			X	
N	EP 1 014 613 A2	06/28/2000	European Patent Office			X	
0	EP 0 949 777 A2	10/13/1999	European Patent Office			X	

## **NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
 P	Written Opinion for PCT US01/11203, (4 pages)	11/12/2003
 Q	Bellcore, "SONET Bidirectional Line-Switched Ring Equipment Generic Criteria,", A Module of TSGR, RF-440, Generic Requirements, GR-1230-Core, Issue 4, December 1998 (274 pages)	12/1998

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE